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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/828,703	04/21/2004	Edward Wells Knowlton	1000-011	5381

7590 12/15/2006

Joel Harris  
1027 Solana Drive  
Mountain View, CA 94040

EXAMINER
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ROANE, AARON F

ART UNIT	PAPER NUMBER
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3739

DATE MAILED: 12/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/828,703	<b>Applicant(s)</b> KNOWLTON, EDWARD WELLS	
	<b>Examiner</b> Aaron Roane	<b>Art Unit</b> 3739	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 21 April 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION*****Double Patenting***

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-3, 10-16, 19 and 20 rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-18 of U.S. Patent No. 6,470,216. Although the conflicting claims are not identical, they are not patentably distinct from each other because they encompass the same steps.

Claims 1-20 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-39 of copending Application No. 10/813,980.

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Although the conflicting claims are not identical, they are not patentably distinct from each other because entail the same set of steps.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-20 are rejected under 35 U.S.C. 102(b) as being anticipated by Knowlton (USPN 6,350,276).

Regarding claims 1 and 15, Knowlton discloses a method of treating a target tissue site, the method comprising: identifying/selecting the tissue site based on a tissue profile or condition/deformity of the tissue site (col. 2, lines 19-46, col. 12, line 50-67, col. 13, 35-47 and 14, lines 19-54); delivering energy to the tissue site at a first depth to achieve a first tissue effect using an energy delivery device (col. 2, lines 20-34); delivering energy to the tissue site at a second depth to achieve a second tissue effect using an energy delivery device (col. 2, lines 20-34); and remodeling at least a portion of tissue at the

tissue site (col. 2, lines 19-46, col. 8, lines 11-30 and claim 1). Said first or second energy deliver is RF, see col. 7, line 52 through col. 8, line 30 and col. 13, line 18 through col. 21, line 14.

Regarding claims 2 and 16, Knowlton further discloses the tissue site is selected based on an amount of convexity at the tissue site or an image of the tissue site, see col. 12, lines 1-3.

Regarding claims 3, 4, 8, 17 and 18, Knowlton disclose the claimed invention, see col. 6, lines 5-48, col. 7 and 8.

Regarding claim 5, Knowlton further disclose the second tissue effect is at least one of thermal lipolysis, three dimensional inward contouring, or three dimensional inward contouring of convex deformities, see col. 2, lines 19-46, col. 8, lines 11-30 and claim 1.

Regarding claim 6, Knowlton further disclose the second tissue effect is at least one of thermal contraction of the fibrous septae, thermal contraction of muscle, thermal contraction of fascia, skeletonization of the fibrous septae, three dimensional tissue repositioning, or three dimensional deep tissue repositioning of convex deformities, see col. 2, lines 6-16 and col. 6, lines 49-57, and col. 10, lines 36-53.

Regarding claim 7, Knowlton discloses the claimed invention, see col. 6, lines 38 through col. 8, line 3.

Regarding claim 9, Knowlton further discloses delivering a pattern of energy applications to the tissue site using the energy delivery device; and producing a plurality of thermal adhesions or lesions wherein the plurality of adhesions or lesions is substantially continuous or at least partially overlapping, see col. 16, lines 54-67.

Regarding claim 10, Knowlton discloses delivering a vectored force to the tissue site, see col. 8, lines 11-30.

Regarding claim 11, Knowlton further discloses cooling a layer of tissue or a surface layer of tissue of at least a portion of the tissue site, see col. 4, line 55 through col. 5, line 15.

Regarding claim 12, Knowlton further discloses producing a reverse thermal gradient within at least a portion of the tissue site, see col. 5, lines 52-59.

Regarding claim 13, Knowlton discloses producing a reverse thermal gradient within at least a portion of the tissue site, see col. 10, lines 1-3.

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Regarding claim 14, Knowlton further disclose substantially preserving at least a portion of a surface, a tissue layer or an epidermal layer at or adjacent the tissue site, see entire disclosure, particularly col. 2, 8 and 14.

Regarding claim 19, Knowlton further disclose controlling at least one of dose or the depth of energy delivery responsive to the identified deformity, see col. 7, line 31-51.

Regarding claim 20, Knowlton further disclose the dose or depth or depth of energy delivery is controlled by at least one of the selection of electrode size, power, pre-cooling period, cooling period, or energy delivery time, see col. 7, line 31-51.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Aaron Roane whose telephone number is (571) 272-4771. The examiner can normally be reached on Monday-Thursday 7AM-6PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda Dvorak can be reached on (571) 272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

A.R. *A.R.*  
December 8, 2006

*Michael Peffley*  
MICHAEL PEFFLEY  
PRIMARY EXAMINER